

10-06-05

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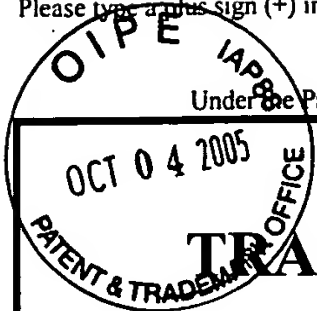


PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>Application Number</b>	09/594,213		
	<b>Filing Date</b>	June 14, 2000	
	<b>First Named Inventor</b>	Lee Jay Lorenzen	
	<b>Group/Art Unit</b>	3627	
	<b>Examiner Name</b>	Florian M. Zeender	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	40959-0002

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> <b>Fee Transmittal Form</b> <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> Exhibits <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input checked="" type="checkbox"/> <b>Information Disclosure Statement with PTO-1449</b> <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Status Claimed <input type="checkbox"/> Statement under 3.73(b)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> <b>Additional Enclosure(s) (please identify below):</b> 21 References and Return Receipt Postcard
<b>Remarks</b> AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 (ATTORNEY DOCKET NO.: 40959-0002) FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER.		

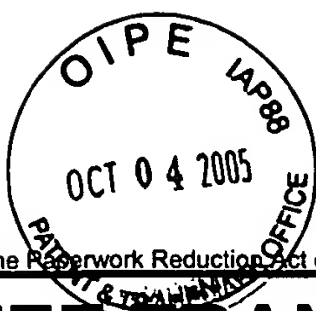
## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

<b>Firm or Individual name</b>	Hao Y. Tung, Reg. No.: 43,209, HELLER EHRMAN LLP		
<b>Signature</b>			
<b>Date</b>	October 4, 2005	<b>Customer Number:</b>	25213

## CERTIFICATE OF EXPRESS MAILING

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Express Mail Label: EL 993 630 482 US			
<b>Typed or printed name</b>	Jason A. Gonzalez		
<b>Signature</b>		<b>Date</b>	October 4, 2005

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PTO/SB/17 (12-04)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2005

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)**180.00**

## Complete if Known

Application Number	09/594,213
Filing Date	June 14, 2000
First Named Inventor	Lee Jay Lorenzen
Examiner Name	Florian M. Zeender
Art Unit	3627
Attorney Docket No.	40959-0002

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

08-1641 (40959-0002)

HELLER EHRMAN LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	300	2001	150	Utility filing fee	
1002	200	2002	100	Design filing fee	
1003	200	2003	100	Plant filing fee	
1004	300	2004	150	Reissue filing fee	
1005	200	2005	100	Provisional filing fee	
SUBTOTAL (1)				(\$)	0

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims  -20\*\* =  X  =   
Independent Claims  - 3\*\* =  X  =   
Multiple Dependent  =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	50	2202	25	Claims in excess of 20	
1201	200	2201	100	Independent claims in excess of 3	
1203	360	2203	180	Multiple dependent claim, if not paid	
1204	200	2204	100	** Reissue independent claims over original patent	
1205	50	2205	25	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$)	

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petition to revive - unavoidable	
1453	1,500	2453	750	Petition to revive - unintentional	
1501	1,400	2501	700	Utility issue fee (or reissue)	
1502	800	2502	400	Design issue fee	
1503	1,100	2503	550	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**180**

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)

Hao Y. Tung

Registration No.  
(Attorney/Agent)

43,209

Telephone 650.324.7000

Signature

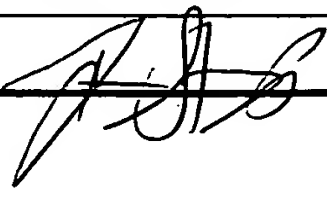
Date

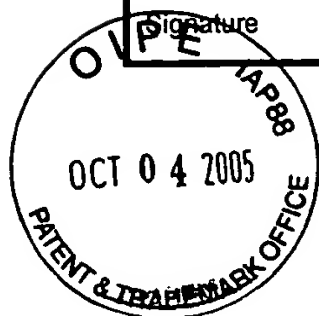
October 4, 2005

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Express Mail Label: EL 993 630 482 US			
Typed or print name	Jason A. Gonzalez		
Signature		Date	October 4, 2005



**PATENT**

**Attorney Docket No.: 40959-0002**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**PATENT APPLICATION**

Inventor(s): Lee Jay Lorenzen, et al.

Application No.: 09/594,213

Filed: June 14, 2000

Title: Multi-Vendor Internet Commerce System for  
E-Commerce Applications and Methods Therefor

Art Unit: 3627

Examiner: Florian M. Zeender

**INFORMATION DISCLOSURE STATEMENT**

**UNDER 37 C.F.R. §1.97**

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy is provided of each foreign patent, each cited pending unpublished U.S. application, and all additional publications other than U.S. patents and U.S. patent application publications. 37 C.F.R. §§1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

10/07/2005 HLE333 00000018 081641 09594213

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- ☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
  - ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
  - ☐ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --
  - ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; **or**
  - ☒ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
  - B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
  - C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of **\$180.00** and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No.: 40959-0002).

Respectfully submitted,

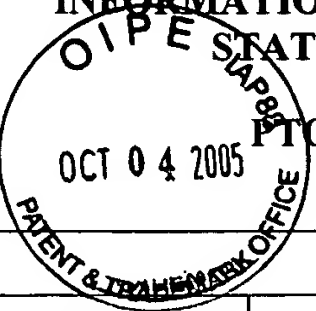
HELLER EHRMAN LLP

Dated: Oct 4, 2005

By: Hao Y. Tung

Hao Y. Tung  
Reg. No.: 43,209

HELLER EHRMAN LLP  
275 Middlefield Road  
Menlo Park, CA 94025-3506  
Telephone: 1.650.324.7063  
Facsimile: 1.650.324.0638  
Customer No.: 25213

<b>INFORMATION DISCLOSURE STATEMENT</b>  <b>PTO-1449</b>			ATTY. DOCKET NO. 40959-0002		SERIAL NO. 09/594,213	
			APPLICANT: Lee Jay Lorenzen, et al.			
			FILING DATE: June 14, 2000		GROUP: 3627	
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	2002/0087430	07/04/2002	Tony Davis, et al.	705	26	
	2002/0038255	03/28/2002	Martin K. Tarvydas	705	26	
	5,319,542	06/07/1994	John E. King Jr., et al.	364	401	
	5,592,375	01/07/1997	Bardwell C. Salmon, et al.	395	207	
	5,671,279	09/23/1997	Taher Elgamal	380	23	
	5,710,887	01/20/1998	Raman Chelliah, et al.	395	226	
	5,715,314	02/03/1998	Andrew C. Payne, et al.	380	24	
	5,727,048	03/10/1998	Shuuichi Hiroshima, et al.	379	93.12	
	5,742,768	04/21/1998	Giuseppe Gennaro, et al.	295	200.33	
	5,758,328	05/26/1998	Joseph Giovannoli	705	26	
	5,790,677	08/04/1998	Barbara L. Fox, et al.	380	24	
	5,794,207	08/11/1998	Jay S. Walker, et al.	705	23	
	5,895,454	04/20/1999	Juliette Harrington	705	26	
	5,897,621	04/27/1999	Brian Paul Boesch, et al.	705	26	
	5,918,213	06/29/1999	Warren E. Bernard, et al.	705	26	
	5,946,665	08/31/1999	Tetsujiro Suzuki, et al.	705	26	
	6,119,101	09/12/2000	Douglas L. Peckover	705	26	
	6,125,352	09/26/2000	D. Chase Franklin, et al.	705	26	
	6,490,567	12/03/2002	James McKanna Gregory	705	39	
	6,574,607	06/03/2003	John Mervyn Carter, et al.	705	26	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
	PAUL BANDROWSKI, "Stores without Doors: Kiosks Generate New Profits, Corporate Computing, Vol. 1, No.4, October 1992					
	ROBERT B. DOORENBOS, et al., "A Scalable Comparison-Shopping Agent for the World-Wide Web", Department of Computer Science and Engineering, University of Washington, Proceedings of the First International Conference on Autonomous Agents, February 5-8, 1997					
EXAMINER			DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE STATEMENT</b>  <b>PTO-1449</b>	ATTY. DOCKET NO. 40959-0002	SERIAL NO. 09/594,213
	APPLICANT: Lee Jay Lorenzen, et al.	
	FILING DATE: June 14, 2000	GROUP: 3627
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>		
	ARTHUR M. KELLER, et al., "Multivendor Catalogs: Smart Catalogs and Virtual Catalogs", Stanford University Computer Science Department, 1996	
	ARUN IYENGAR, et al., "Research Report: Distributed Virtual Malls on the World Wide Web", IBM Research Division, T.J. Watson Research Center, September 4, 1997	
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	Information Week, "Microsoft Plans Online Shopping System -- Merchant Server and Tools Will Strive for Common Standards," CMP Media Inc., Feb. 19, 1996.	
	MITCH WAGNER, et al., "Start-up Pitches On-line Catalogs for Dummies," Computerworld Inc., Feb. 19, 1996	
	Homepage of www.ishops.com, retrieved from ( <a href="http://web.archive.org/web/19961107112121/http://www.ishops.com">http://web.archive.org/web/19961107112121/http://www.ishops.com</a> )	
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	IRA SAGER, "The New I-Way Hog: IBM", Business Week, Sept. 16, 1996	
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	CYNTHIA N. JAMES-CATALANO, "Hit the Malls," Internet World, Dec. 1996	
	Web pages from the website choicemall.com, obtained from ( <a href="http://web.archive.org/web/19961222083932/http://choicemall.com">http://web.archive.org/web/19961222083932/http://choicemall.com</a> ) ( <a href="http://web.archive.org/web/19970413024950/choicemall.com/about.html">http://web.archive.org/web/19970413024950/choicemall.com/about.html</a> )	
	PC Week Executive Staff, "PC Week's Top 10 E-commerce sites", PCWeek Online, Jan. 6, 1997	
	RICHARD TEDESCO, "Microsoft, IBM Hope to Cash in on the Internet", Broadcasting and Cable, Telemedia Week, Vol. 126, No. 26, pg. 64, June 17, 1996	
	R. G., "MCI Meets the Home-Shopping Network", Data Communications, pg. 49, November 21, 1995	
	KAREN RODRIGUEZ, "MCI Rolls Out Internet Electronic Commerce", Infoworld, pg. 12, April 3, 1995	
	ELLIS BOOKER, "MCI Opens Doors to King of Cybermalls", Computerworld, April 10, 1995	
EXAMINER		DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.